

COPY OF PAPERS
ORIGINALLY FILED

Sheet 1 of 1

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Atty Docket No.

P-4157

Serial No.

09/855,433

Applicant(s)

David Ungar et al.

Filing Date

May 14, 2001

Group

2122

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|------|------|-------|----------|-------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

RECEIVED
JUN 11 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

| | | | | | | | Translation | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | YES | NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|----|--|
| SR | AR | Sun Microsystems, The Java Virtual Machine Specification, 1996, sections 6.1 to 6.4 |
| SR | AS | Sun Microsystems, The Java Virtual Machine Specification, 1996, sections 7.1 to 7.14 |
| SR | AT | Adi-Tabatabai et al., Fast, Effective, Code Generation in a Just-In-Time Java Compiler |

Examiner

Satya Rompala

Date Considered

3/31/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
respond to a collection of information unless it contains a valid OMB
control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

| | |
|------------------------|------------------|
| Application Number | 09/855,433 |
| Filing Date | May 14, 2001 |
| First Named Inventor | UNGAR, David |
| Group Art Unit | 2122 |
| Examiner Name | UNASSIGNED |
| Attorney Docket Number | 83000.1113/P4157 |

[illegible][illegible]

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|----------|---|----------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | P ^o |
| SR | | ADL-TABATABAI, Ali-Reza, CIERNIAK, Michal, LUEH, Guei-Yuan, PARIKH, Vishesh M., and Stichnoth, James M., "FAST, EFFECTIVE CODE GENERATION IN A JUST-IN-TIME JAVA COMPILER," Intel Corporation, Santa Clara, California | |
| | | | |

Satish Ramprasad

3/31/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.